

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/698,040</td> </tr> <tr> <td>Filing Date</td> <td>October 30, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>HAIT, David</td> </tr> <tr> <td>Art Unit</td> <td>3696</td> </tr> <tr> <td>Examiner Name</td> <td>SEE, CAROL A</td> </tr> <tr> <td>Attorney Docket Number</td> <td>P-73418-US</td> </tr> </table>		Application Number	10/698,040	Filing Date	October 30, 2003	First Named Inventor	HAIT, David	Art Unit	3696	Examiner Name	SEE, CAROL A	Attorney Docket Number	P-73418-US
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Sheet	1	of	2												

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature	/Carol See/	Date Considered	07/17/2011
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				Application Number	10/698,040
				Filing Date	October 30, 2003
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				Art Unit	3696
				Examiner Name	SEE, CAROL A
Sheet	2	of	2	Attorney Docket Number	P-73418-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/C.S./	A	JOHN C. HULL; Options, Futures, and Other Derivatives, Sixth Edition. Prentice Hall, Copyright 1993-2006; Pages 397-398.	<input type="checkbox"/>
/C.S./	B	MARK BROADIE and JEROME DETEMPLE; American Option Valuation: New Bounds, Approximations, and a Comparison of Existing Methods; The Review of Financial Studies Winter 1996 Vol. 9, No. 4, pp 1211-1250.	<input type="checkbox"/>
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